

EAST HERTS COUNCIL

PERFORMANCE, AUDIT AND GOVERNANCE SCRUTINY COMMITTEE –
21 MAY 2019

REPORT BY HEAD OF STRATEGIC FINANCE AND PROPERTY

RISK MANAGEMENT ANNUAL REPORT 2019/20

WARD(S) AFFECTED: ALL

Purpose/Summary of Report

- To advise Members of the proposed content of the Strategic Risk Register for 2019/20.

**RECOMMENDATIONS FOR PERFORMANCE, AUDIT AND
GOVERNANCE SCRUTINY COMMITTEE: That:**

(A)	The content of the Strategic Risk Register be reviewed and the Executive advised of any amendments, additions or deletions proposed.
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1.0 Background

1.1 The committee receives an annual report detailing changes in the content of the Strategic Risk Register between financial years.

2.0 Report

2.1 During 2017/18 it was agreed that an annual risk report would be submitted. (The Strategic Risk Register used to be presented quarterly).

2.2 Leadership Team has reviewed and updated the content of the Strategic Risk Register for 2019/20. The proposed content can be found at **Essential Reference Paper 'B'**.

2.3 No new risks have been identified. One risk has been deleted. Some descriptions have been updated and these changes are summarised below:

2018/19 reference and summary of title		2019/20 reference and comment	
1a	Significant funding reduction	1a	No change
1b	Business rate income reduction	1b	No change
2	Workforce capability and experience to deliver services.	2	No change
3	Supplier or contractor fails to deliver	3	No change
4	Alternate service delivery models	4	No change
5a	Data breach	5a	No change
5b	Cyber risk	5b	No change
6	District Plan	N/a	Deleted in 2018/19 quarter three following adoption of the District Plan
7	Significant development proposals at strategic sites	6	Description updated
8	Digital East Herts	7	No change
9	Safeguarding children	8	No change
10	Safeguarding adults	9	No change
11	Economic vitality	10	Description updated
12	Emergency planning response	11	No change
13	Referendum vote to	12	Description updated

	leave EU		
14	Old River Lane	13	No change

- 2.4 The council's Risk Management Strategy was presented at March's meeting but the scoring matrix has been replicated at **Essential Reference Paper 'C'** for ease of reference.
- 2.5 The council's Leadership Team still reviews the content of the Strategic Risk Register quarterly and records controls implemented or planned. Whilst controls are no longer reported to committee, all members can view these on the council's performance management system, Pentana Performance. <https://eastherts.pentanarpm.uk/login>
- 2.6 The committee is however advised of exceptions, usually within the finance health check report, such as addition or deletion of risks, changes of descriptions and scores. However a separate financial outturn report is presented for quarter four so the risk commentary usually contained in that report follows:
- 2.7 In 2018/19 quarter four there were no changes to risk descriptions or scores and no additions or deletions.
- 3.0 Implications/Consultations
- 3.1 Information on any corporate issues and consultation associated with this report can be found within **Essential Reference Paper 'A'**.

Background Papers

None

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